

8-2-01  
Box 5EQ

1044 U.S. PTO  
08/02/01

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PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

OF COUNSEL  
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STUART N. SENNIGER  
(1921-1997)

August 2, 2001

UTILITY PATENT APPLICATION TRANSMITTAL  
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: UNL 2977.1  
First Named Inventor: Clemente, et al.  
Title: DOWN-REGULATION OF SINGLE GENES AND SIMULTANEOUS DOWN-  
REGULATION OF MULTIPLE GENES BY NUCLEAR LOCALIZATION OF  
RNA TRANSCRIPTS  
Express Mail Label Number: EL 910280843 US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

APPLICATION ELEMENTS

1. [X] Fee Transmittal Form  
(original and duplicate)
2. [X] Applicant claims small entity status
3. [X] Specification [Total Pages 45]
4. [X] Drawings [Total Sheets 6]
5. Oath or Declaration [Total Pages 4]
  - a. [ ] Newly executed (original or copy)  
[X] New (unexecuted)
  - b. [ ] Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i. [ ] DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

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09/22/01

6. ☐ Incorporation By Reference  
(useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☒ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☒ Computer Readable Form
  - b. ☒ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ paper
  - c. ☒ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE  
BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part  
of prior application No.: \_\_\_\_\_
- ☒ Complete Application based on provisional Application  
No. 60/222,510, filed 08/02/2000

Prior application information: Examiner:  
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Debra D. Nye

Respectfully submitted,

*Debra D. Nye*

Debra D. Nye, Reg. No. P-48,260  
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DDN/dmt

**FEE TRANSMITTAL**

Application Number To Be Announced  
Filing Date Herewith  
Confirmation No.  
Inventor(s) Clemente, et al.  
Attorney Docket Number UNL 2977.1

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☒ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☒ BASIC FILING FEE Subtotal (1) \$355.00  
(Type: Utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$340.00
- Total Claims 40  
Independent Claims 7  
Multiple Dependent Claims \_\_\_\_\_
3. ☐ ADDITIONAL FEES Subtotal (3) \$\_\_\_\_\_
- ☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 695.00

Debra D. Nye August 2, 2001  
Debra D. Nye, Reg. No. P-48,260 Date

DDN/dmt  
Express Mail Label No. EL 910280843 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Clement, et al.

Serial No.: To Be Assigned

Filed: Herewith

For: DOWN-REGULATION OF SINGLE GENES AND SIMULTANEOUS DOWN-  
REGULATION OF MULTIPLE GENES BY NUCLEAR LOCALIZATION OF  
RNA TRANSCRIPTS

Examiner: To Be Assigned

August 2, 2001

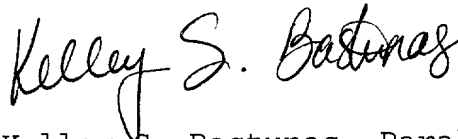
STATEMENT UNDER 37 C.F.R. 1.821(f)

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Sir:

In accordance with 37 C.F.R. 1.821(f), I hereby state that the information recorded in computer readable form is identical to the written sequence listing submitted in support of the present application.

Respectfully submitted,



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Express Mail No.: EL 910280843 US

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